

08-20-01

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Patent  
Attorney's Docket No. 029685-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT  
APPLICATION TRANSMITTAL LETTER

Box **PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

JC986 U.S. PTO  
09/17/01  
08/17/01

Sir:

Enclosed for filing is the utility patent application of Grant SIMONDS for Improved Method and System of Effecting a Financial Transaction.

- ☐ Applicant(s) hereby request(s) that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☐ Applicant(s) suggest(s) Figure \_ for inclusion on the front page of the patent application publication and patent.

Also enclosed are:

- ☒ 2 sheet(s) of ☒ formal ☐ informal drawing(s);
- ☒ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 ☒ is hereby made to Application No. PQ9524 filed in Australia on August 18, 2000;
- ☒ in the declaration;
- ☒ a certified copy of the priority document;
- ☐ a General Authorization for Petitions for Extensions of Time and Payment of

Fees;

- ☒ an Assignment document;
- ☐ an Information Disclosure Statement; and
- ☐ Other: \_.
- ☒ An ☒ executed ☐ unexecuted declaration of the inventor(s)
- ☒ also is enclosed ☐ will follow.

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Date of Deposit 8/17/01

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

H. H. H. H. H.  
(Type or printed name of person mailing paper or fee)

[Signature]  
(Signature of person mailing paper or fee)



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The filing fee has been calculated as follows [ ] and in accordance with the enclosed preliminary amendment:

| CLAIMS   |               |            |              |                |                   |
|--|---------------|------------|--------------|----------------|-------------------|
|  | NO. OF CLAIMS |            | EXTRA CLAIMS | RATE           | FEE               |
| Basic Application Fee (101)  |               |            |              |                | \$ 710.00         |
| Total Claims   | 31            | MINUS 20 = | 11           | x \$18 = (103) | \$198.00          |
| Independent Claims   | 4             | MINUS 3 =  | 1            | x \$80 = (102) | \$80.00           |
| If multiple dependent claims are presented, add \$270.00 (104)                   |               |            |              |                | .00               |
| Total Application Fee  |               |            |              |                | \$988.00          |
| If claiming small entity status, subtract 50% of Total Application Fee           |               |            |              |                | .00               |
| Add Assignment Recording Fee of \$40.00 (581) if Assignment document is enclosed |               |            |              |                | \$40.00           |
| <b>TOTAL APPLICATION FEE DUE</b>   |               |            |              |                | <b>\$1,028.00</b> |

- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.
- [X] Charge \$ 1,028.00 \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- [X] The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.


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Respectfully submitted,

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Date: August 17, 2001